

ATTACHMENT 5

Overall DBE Three-Year Goal Methodology

Name of Recipient: Daviess County Airport (DCY)

Goal Period: FY 2024-26 (10/1/2023 through 9/30/2026)

DOT-assisted contract amounts:

2024	\$299,000
2025	\$95,000
2026	\$699,350
Totals	\$1,093,350

Overall Three-Year Goal: 3.92%, to be accomplished through 3.92% Race Conscious and 0.0% Race Neutral

Total dollar amount to be expended on DBEs: \$42,860.00

Describe the Number and Type of Contracts that the airport anticipates awarding:

Contracts in Fiscal Year 2024 – \$299,000.00

- Improve Airport Drainage - Construction

Contracts in Fiscal Year 2025 – \$95,000.00

- Rehabilitate RWY 18/36 Lighting System and Vertical / Visual Navigational Aids - Phase 1 Environmental and Design - \$95,000.00

Contracts in Fiscal Year 2026 – \$699,350.00

- Rehabilitate RWY 18/36 Lighting System and Vertical / Visual Navigational Aids - Phase 2 Construction - \$699,350.00

Market Area:

The market area included Greene County, Knox County, Daviess County, Martin County, Pike County, Dubois County in Indiana. Selection of DBE (State Total) companies was based on their zip-codes when compared to the total from each of the counties (County Total – Census).

Step 1. Actual relative availability of DBEs

The base figure for the relative availability was calculated as follows:

Method: Use DBE Directories (<https://entapps.indot.in.gov/DBELocator/>) and Census Bureau Data (<https://data.census.gov/>).

Availability of DBE firms:

For 10/1/2023 - 9/30/2026, award of the following is anticipated:

FY 2024

NAICS	Type of Work	Total DBEs	Total All Firms
237310	Highway, Street, and Bridge Construction	1	0
238910	Site Preparation Contractors	2	40
484220	Specialized Freight (except Used Goods) Trucking, Local	3	31
541330	Engineering Services	0	34
541370	Surveying and Mapping (except Geophysical) Services	0	11
541380	Testing Laboratories and Services	0	0
561730	Landscaping Services	1	57
Total	4.04%	7	173

FY 2025

NAICS	Type of Work	Total DBEs	Total All Firms
541330	Engineering Services	0	34
541370	Surveying and Mapping (except Geophysical) Services	0	11
Total	0.00%	0	45

FY 2026

NAICS	Type of Work	Total DBEs	Total All Firms
237310	Highway, Street, and Bridge Construction	1	0
238210	Electrical Contractors and Other Wiring Installation	1	31
238910	Site Preparation Contractors	2	40
484220	Specialized Freight (except Used Goods) Trucking, Local	3	31
541330	Engineering Services	0	34
541370	Surveying and Mapping (except Geophysical) Services	0	11
541380	Testing Laboratories and Services	0	0
561730	Landscaping Services	1	57
Total	3.92%	8	204

Base of DBE Goal: **3.92%**

Step 2: Adjustments to Step 1 base figure

After calculating a base figure of the relative availability of DBEs, evidence was examined to determine what, if any, adjustment to the base figure was needed in order to arrive at the overall goal.

Past History Participation

Not enough historical data on DBE participation is available to reference to make an adjustment to the Step 1 base figure; therefore, Monroe County Airport is adopting the Step 1 base figure as the overall goal for this three-year goal period.

Furthermore, there are no applicable disparity studies for the local market area or recent legal case information from the relevant jurisdictions to show evidence of barriers to entry or competitiveness of DBEs in the market area that is sufficient to warrant making an adjustment to the base goal.

Breakout of Estimated "Race and Gender Neutral" (RN) and "Race and Gender Conscious" (RC) Participation

Daviess County Airport will meet the maximum feasible portion of the overall goal by using race conscious means of facilitating DBE participation:

1. Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitates DBE, and other small businesses, participation.
2. Providing assistance in overcoming limitations such as inability to obtain bonding or financing.
3. Providing technical assistance and other services.
4. Carrying out information and communications programs on contracting procedures and specific contract opportunities.
5. Implementing a supportive services program to develop and improve immediate and long-term business management, record keeping, and financial and accounting capability for DBEs and other small businesses.
6. Providing services to help DBEs and other small businesses improve long-term development, increase opportunities to participate in a variety of kinds of work, handle increasingly significant projects, and achieve eventual self-sufficiency.
7. Establishing a program to assist new, start-up firms, particularly in fields in which DBE participation has historically been low.
8. Ensuring distribution of DBE directory, through print and electronic means, to the widest feasible universe of potential prime contractors.
9. Assist DBEs and other small businesses, to develop their capability to utilize emerging technology and conduct business through electronic media.

Daviess County Airport estimates that in meeting the established overall goal of 3.92 %, it will obtain 0.0% from RN participation and 3.92 % through race conscious measures.

There are no studies or historical data that would help us predict if the contracts will be awarded based on race or lowest bid. In order to ensure that our DBE program will be narrowly tailored to overcome the effects of discrimination, if we use contract goals we will adjust the estimated breakout of race-neutral and race conscious participation as needed to reflect actual DBE participation and we will track and report race-neutral and race conscious participation separately. For reporting purposes race-neutral DBE participation includes, but is not necessarily limited to, the following DBE participation through a prime contract a DBE obtains through customary competitive procurement procedures; DBE participation through subcontract on a prime contract that does not carry DBE goal; DBE participation on a prime contract exceeding a contract goal; and DBE

participation through a subcontract from a prime contractor that did not consider a firm's DBE status in making the award.

Daviess County Airport expects to award at least one contract to a DBE prime contractor through competitive bid procedures. This contract is expected to represent at least 3.92 % of the FAA-funded work during this goal-setting period. Therefore, 0.0% of the 3.92 % goal is expected from race-neutral means, and the remaining 3.92 % is expected to be race-conscious participation.

Daviess County Airport will adjust the estimated breakout of race neutral, and race conscious DBE participation as needed to reflect actual DBE participation (see §26.51(f)) and track and report race neutral and race conscious participation separately. For reporting purposes, RN DBE participation includes, but is not necessarily limited to the following: DBE participation through a prime contract obtained through customary competitive procurement procedures; DBE participation through a subcontract on a prime contract that does not carry a DBE goal, DBE participation on a prime contract exceeding a contract goal, and DBE participation through a subcontract from a prime contractor that did not consider a firm's DBE status in making the award.

Consultation

In establishing the overall goal, Daviess County Airport provided for consultation and publication. This process included consultation with minority, women, and general contractor groups, community organizations, and other officials or organizations which could be expected to have information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and the Daviess County Airport's efforts to establish a level playing field for the participation of DBEs. The consultation included a scheduled, direct, interactive exchange with as many interested stakeholders as possible focused on obtaining information relevant to the goal setting process and was conducted before the goal methodology was submitted to the operating administration for review. Details of the consultation are as follows.

The consultation engaged in was teleconference and video conference, which was held at Woolpert through Microsoft Teams at 1 p.m. on May 3, 2023.

Following the consultation, a notice was published on the **Daviess County Airport** official website regarding the proposed overall goal, informing the public that the proposed goal and its rationale are available for inspection during normal business hours at the Daviess County Airport offices for 30 days following the date of the notice, and informing the public that the Daviess County Airport will accept comments on the goals for 45 days from the date of the notice.

The following comments were received during the consultation:

- No comments were received at this time.

Not with standing paragraph (f)(4) of §26.45, Daviess County Airport proposed goals will not be implemented until this requirement has been met.

Public Notice

PUBLIC NOTICE

Disadvantaged Business Enterprise (DBE) Goal, Daviess County Airport.

Date: May 5, 2023

Daviess County Board of Aviation Commissioners hereby announces its proposed Disadvantaged Business Enterprise (DBE) participation goal of 3.92% for FAA funded contracts and agreements. The proposed goal pertains to fiscal years 2024-2026 (10/01/2023 – 9/30/2026). A teleconference was held on May 3rd, 2023 from 1:00 – 1:30 PM EST for the purpose of consulting with stakeholders to obtain information relevant to the goal-setting process.

The proposed goal and its rationale are available for inspection during normal business hours at the airport office for 30 days following the date of this notice. Public comments on the proposed goal will be accepted for 45 days from the posting of this notice. Comments may be submitted by mail to:

Dave Gray, Board of Aviation President (DBE Liaison Officer)
Daviess County Airport
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Washington, IN 47501
812-254-9934